

**College of Insurance**  
**Insurance Institute of India, Mumbai**  
**Classroom Training Session on Compliance Management of**  
**Life Insurance Companies Program ID- CPL30**  
**(From: 17<sup>th</sup> – 18<sup>th</sup> July, 2023)**

**Relevance of the Program:-**

Today compliances in any company is considered to be of paramount importance. Rather it is said that cost of compliances is much less than cost of non-compliances and that is why companies are more focused on compliances. In Life Insurance Companies, various regulatory disclosures as mandated by IRDAI and SEBI, if company is listed, are important to ensure that the Company is fully compliant before Regulator asks for non-compliances, if any, and queries in respect of compliances made.

Keeping the aforesaid in mind, this program is designed to cover various aspects of compliances especially L1 onwards disclosures as required by IRDAI. Participation in this course would provide a unique opportunity to learn from esteemed faculties with rich industry experience along with interaction with exchange of ideas with executives coming from different insurance companies, which can benefit one another with the best practices adopted across the industry.

**Program Objectives:-**

Understanding various disclosure requirements as mandated by IRDAI.

**Contents:**

Detailed department wise discussions to ensure L1 onwards disclosures covering Revenue Account, P&L , Balance Sheet, Premium, Commission, Operating Expenses, Benefits Paid, Share Capital, Shareholding Pattern, Reserves and Surplus, Borrowings, Investment ( Shareholders/ Policyholders/Linked), Loans, Fixed Assets, Cash & Bank Balances, Advances & Other Assets, Current Liabilities, Provisions, Miscellaneous Expenses, Analytical Ratios, Valuation of Net Liabilities, Geographical Distribution of Total Business ( Individual & Group), Investment Assets, ULIP and its NAV, Debt Securities ( Linked & Non Linked), Related Party Transactions, Solvency Margin, NPA ( Life, Linked & Pension), Investment Incomes ( Life, Linked & Pension), Downgraded Ratings ( Life, Linked & Pension), Number of Lives, Business acquisition through different channels, Aging of Claims, Claims data, Grievance Disposal, Valuation Basis ( Individual & Group)

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**Participant's Profile:-**

The Program is mainly designed for executives dealing with various regulatory compliances of Life Insurance Companies as well as those working in broking firms. It may also be useful for insurance professionals seeking to update their knowledge and skills in various aspects of life insurance business.

**Course Coordinator:-**

Mr V. Jayant Kumar – 022-26544285      Email: vjayanth@iii.org.in

**Program Duration & Timing: -**

2 days & from 10.00 a.m. to 05.00 p.m.

**Course Fees:-**

**Participants requiring residential facilities: Total amount Required –Rs. 11800/- i.e. (Rs. 10000/- plus 9% CGST + 9% SGST).**

- The fees cover tuition, course material, A/C single room accommodation in the Institute's campus and full boarding (bed tea/coffee, breakfast, lunch, light refreshments in the evening and dinner). All rooms are fully furnished with attached bathroom and Internet facility.
- Rooms are reserved from 12.00 noon onwards the day prior to the commencement of the program. The participants can stay till 12.00 noon next day after the conclusion of the program.

**Non-residential participants: Total amount Required –Rs. 8496/- i.e. (Rs. 7200/- plus 9% CGST + 9% SGST).**

- The fees cover tuition, course material and day boarding (i.e. tea/coffee during tea breaks and lunch for actual days of training).

**Payment Terms and Conditions:-**

- 1) The payment should be received by the College of Insurance before the commencement of the program.
- 2) The confirmation of registration for the program will be subject to receipt of payment.

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3) Please see below details for Bank Transfer.

Name of the Beneficiary	Insurance Institute of India
Account No.	004010100143462
IFS Code	UTIB0000004
MICR Code	400211002
Swift Code	AXISINBB004
Name of Bank	Axis Bank Ltd
Address	Fort Branch, Universal Insurance Building, Ground Floor, Sir P M Road, Fort, Mumbai – 400001.

4) For online payment mode by following the below link

[https://www.insuranceinstituteofindia.com/o/COI\\_WebPortal/cmc/regDetails.htm?eventid=1746](https://www.insuranceinstituteofindia.com/o/COI_WebPortal/cmc/regDetails.htm?eventid=1746)

**Training Venue:-**

Please follow below link / QR Code for Training Venue

<https://qr.go.page.link/qs2Qb>



**Parking Facility:-**

Public parking available at 5 minutes walking distance (Behind US Consulate).

**Respond to:-**

Mr. Vivek Rane	<a href="mailto:college_insurance@iii.org.in">college_insurance@iii.org.in</a>	022-26544297
Ms. Nilambari Bagde		022-26544234
Ms. Snehal Vartak		022-26544251
Ms Vrushali Nagawekar		022-26544266
Ms Jayashri Salian		022-26544324
Ms. Sneha Pednekar		022-26544290
Ms. Mrunal Satam		022-26544216

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**Nomination Form:-**

- 1) Name: \_\_\_\_\_
- 2) Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
- 3) Educational Qualification: \_\_\_\_\_
- 4) Date of Birth: \_\_\_\_\_
- 5) Position Held.: \_\_\_\_\_
- 6) Mobile No : \_\_\_\_\_
- 7) Telephone No. : \_\_\_\_\_
- 8) E-Mail: \_\_\_\_\_
- 9) Alternate E-Mail: \_\_\_\_\_
- 10) Sponsoring Organisation: \_\_\_\_\_

Please affix  
your  
photograph  
here

- 11) Food preference: ☐ Vegetarian ☐ Non-Vegetarian
- 12) Accommodation Required: ☐ Yes ☐ No
- 13) Details of Fee Paid: Cheque / D.D. No. \_\_\_\_\_ dt. \_\_\_\_\_  
Drawn on \_\_\_\_\_ Bank, for Rs. \_\_\_\_\_

\_\_\_\_\_  
Signature & seal of the authority  
of the Sponsoring organisation

\_\_\_\_\_  
Signature of the Participant

\*Name of Co-ordinator: \_\_\_\_\_

Mobile No: \_\_\_\_\_ Tel. No.: \_\_\_\_\_

Email-Id.: \_\_\_\_\_ Company Name: \_\_\_\_\_

\*This column is mandatory for those participants who are sponsored by company.