

## Classroom Training Session on Legal & Regulatory Compliance in Insurance (CT) 13<sup>th</sup> – 14<sup>th</sup> May, 2025

### Program Concept and Objectives:

The legal and regulatory landscape for the insurance industry is evolving at an unprecedented pace. With the transition towards principle-based regulations and increasing emphasis on robust compliance frameworks, insurance companies face formidable challenges. These include staying abreast of changes in regulatory requirements, ensuring effective internal compliance systems, and maintaining seamless documentation and response mechanisms.

A robust compliance function is no longer just a necessity; it is a critical enabler of trust, operational integrity, and long-term success. To navigate these complexities, it is imperative for team managers in legal and compliance roles to be equipped with the knowledge, skills, and tools required to implement effective compliance programs, train key personnel, and respond efficiently to any detected compliance issues.

In response to this need, the College of Insurance, Insurance Institute of India has designed a specialized two-day program focused on Legal and Regulatory Compliance in Insurance. This program aims to address the critical aspects of compliance, providing participants with knowledge of latest regulatory provisions, practical insights and actionable strategies to strengthen their compliance functions.

The two days program broadly covers the following

- Important Act Provisions, CP Act, AML, Ombudsman
- PPHI Regulations, 2024 with Master Circular
- Corporate Governance Regulations, 2024
- Expenses of Management and Commission Regulations, 2024
- Overview of Product Regulations, 2024
- Finance and Investment Regulations, 2024
- Compliance Requirements of Insurance Intermediaries ( Corp Agents/Brokers)

This training program is designed to:

- **Equip Participants with the Latest Regulatory Knowledge:** Provide an in-depth understanding of the rapidly changing regulatory framework and the implications of transitioning to principle-based regulations.
- **Strengthen Internal Compliance Systems:** Develop skills to formulate and implement effective internal compliance programs tailored to organizational needs.

### Key Takeaways from the program:

- Gain a comprehensive understanding of the evolving regulatory landscape for insurance companies.
- Learn to design and implement effective compliance frameworks that align with principle-based regulations.
- Enhance their ability to train and empower key compliance personnel within their organizations.
- Acquire tools and strategies for developing efficient response mechanisms to address compliance issues swiftly and effectively.
- Understand how to foster a culture of compliance within their organizations, strengthening trust and integrity in operations.

### Methodology:

- Expert-led interactive lectures using PPTs
- Real-world case studies and examples

Program ID : CPC

### PARTICIPANT PROFILE:

Middle level to senior executives in Legal and Compliance Departments of Insurance Companies & Intermediaries.

### PROGRAM DURATION & TIMING:

Duration: 2 days  
Time: 10.00 a.m. to 05.00 p.m.

### PROGRAM COORDINATOR:

**Mr. V Jayanth Kumar**  
Email: [vjayanth@iii.org.in](mailto:vjayanth@iii.org.in)  
Contact No: 022-6965285

### PROGRAM FEES:

**Residential Participants:**  
₹ 11,000/- + GST

**Non-residential participants:**  
₹ 8,000/- + GST

**FOR REGISTRATION  
CLICK HERE**

### PROGRAM VENUE:

College of Insurance  
Insurance Institute of India,  
Plot No. C-46, G-Block,  
Bandra-Kurla Complex,  
Mumbai - 400 051.

Please follow the link /  
scan QR Code for  
training venue



<https://qrgo.page.link/qs2Qb>

### Program Team:

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